



**Sustainable investing policy  
of NJSC "S.Seifullin Kazakh AgroTechnical  
Research University"**

**Acting Chairman of the Board- Rector  
NJSC «S.Seifullin KATRU»**

  
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## **PREFACE**

**1 DEVELOPED AND INTRODUCED** by deputy Chairman of the Board  
for finance

**2 APPROVED AND PUT INTO EFFECT** by the Chairman of the  
Board-Rector, 01.02.2023

**3 THE DEADLINE FOR THE FIRST INSPECTION IS 2028**  
**THE FREQUENCY OF VERFICATION is 5 years**

**4 DATE OF INTORCUTION 01.02.2023**

## **General provisions**

The policy of sustainable investment (hereinafter referred to as the Policy) has been developed in accordance with the legislation of the Republic of Kazakhstan, the Charter of the NJSC "S. Seifullin Kazakh Agrotechnical Research University" (hereinafter as KATRU) and regulates the main relations in ensuring the development of KATRU.

## **Regulatory references**

This regulation has been developed in accordance with the following regulatory documents:

- Address of the President of the Republic of Kazakhstan to the people of Kazakhstan "Strategy "Kazakhstan-2050".
- Resolution of the Government of the Republic of Kazakhstan dated July 15, 2022 No. 482 "On approval of the Concept of Investment Policy of the Republic of Kazakhstan until 2026";
- Law of the Republic of Kazakhstan dated 27.07.2007 No. 319-III "On Education";
- Law of the Republic of Kazakhstan dated 18.02.2011 No. 407-IV "On Science";
- Civil Code of the Republic of Kazakhstan dated 27.12.1994 No. 268-XIII;
- Labor Code of the Republic of Kazakhstan dated 23.11.2015 No. 414-V;
- Business Code of the Republic of Kazakhstan dated 29.10.2015 No. 375-V

**Policy Purpose**– to determine the fundamental norms, principles, rules and approaches that the KATRU should adhere to in building a management system in the field of sustainable development through an effective investment policy.

## **Policy Principles:**

As part of its investment activity, KATRU is based on the following basic principles:

- E – responsible attitude to the environment;
- S – high social responsibility;
- G – high quality corporate governance.

## **Policy Objectives:**

1) to define the principles and directions of KATRU's activities in the field of sustainable investment, including in terms of creating an effective and transparent system of interaction with stakeholders;

2) to consolidate the roles, competencies, responsibility of each body and all employees of the University for the implementation of the principles of sustainable development;

3) to determine the relationship between sustainable development and the key processes of the university.

Solving tasks in the field of sustainable development, KATRU forms a mission, goals and objectives, transforming them into a long-term Development

Program. When implementing the Development Program, the KATRU is based on the established international norms of behavior and principles enshrined in the relevant standards in the field of sustainable development, which is a necessary condition for ensuring compliance of its activities with the best international practice.

The provisions of this Policy must be observed by all divisions of the KATRU in the part affecting interaction with stakeholders in the planning and implementation of their activities, the development of internal regulatory documents, the dissemination of information and other types of communication.

### **Goals and objectives of the KATRU in the field of sustainable investment**

In order to ensure sustainable development in the long term, KATRU sets the following goals in the implementation of its activities in terms of investment management, as well as assessment and management of their impact on the economy, social sphere and the environment.

The economic goals of sustainable investment of KATRU:

- 1) break-even activity;
- 2) ensuring the interests of the Sole Shareholder, investors, consumers of the services provided by KATRU;
- 3) improving the efficiency of processes;
- 4) increased investment in technology and innovation;
- 5) increase of labor productivity;

Environmental goals of sustainable investment of KATRU:

- 1) ensuring the integrity of biological and physical natural systems by minimizing the harm from their activities, phasing out investments in fossil fuels;
- 2) optimal use of resources;
- 3) application of eco-friendly, energy- and material-saving technologies;
- 4) expanding partnerships with environmentally sustainable enterprises.

The social goals of sustainable investment of KATRU:

- 1) ensuring transparent competitive procedures and equal employment opportunities;
- 2) fair remuneration and financial incentives for employees;
- 3) respect for the rights of employees;
- 4) ensuring occupational safety and preserving the health of employees;
- 5) professional development of employees;
- 6) implementation of internal and external social programs.

The objectives of the KATRU in the field of sustainable investment are:

- 1) integration of the principles of sustainable development into its activities;
- 2) analysis of activities and risk control in the context of economic, environmental and social components of sustainable development, including the definition of "red lines" in investment policy;

3) improving the quality of investment portfolio management by organizing systematic work;

4) creation of mechanisms for effective interaction of KATRU with interested parties.

### **The main provisions of the Policy of sustainable investment of KATRU**

In order to achieve the goals and objectives of sustainable development, KATRU develops a long-term Development Program that reflects the final result and the mechanisms for achieving it.

For the purposes of sustainable development, KATRU focuses on creating and modernizing the necessary infrastructure, improving human resources, expanding the partner environment, and creating a favorable investment climate. The implementation of the tasks set will be based on the introduction of the best available technologies according to the standards of the Organization for Economic Cooperation and Development, the development of "green" technologies and alternative energy sources by attracting internal and external investments.

Stimulating investment in green projects will be carried out taking into account the achievement of sustainable development goals in the field of "Quality Education", "Gender Equality", "Clean water and Sanitation", "Inexpensive and clean Energy", "Decent work and Economic Growth", as well as "Industrialization, innovation and Infrastructure".

The present Policy of sustainable investment of KATRU is focused on the formation of a holistic investment policy synchronized with other policies that affect the development of the country's investment potential, within the framework of the implementation of three main directions:

- 1) further development of the investment ecosystem;
- 2) promoting the growth of private sector investment activity;
- 3) disclosure of the investment potential of KATRU.

Within the framework of the first direction, problematic issues related to state regulation and management will be solved.

The implementation of the second direction will contribute to the solution of issues related to the activation of attracting new investments from the private sector.

The third direction determines the necessary systemic measures for further disclosure of the investment potential of KATRU.

In accordance with the KATRU Development Program, its transformation into a self-sufficient, competitive and efficient unit is expected, contributing to the development of the country's economy and its investment potential.

The vision, principles and approaches laid down in the Policy of Sustainable Investment of KATRU are aimed at increasing economic activity and income through the implementation of an effective investment policy, including by creating new jobs within the framework of investment projects.

The policy is cross-sectoral in nature, its key role is to create an integrated system of guidelines and approaches in the field of investment policy and coordination of public and private organizations for its implementation, taking into

account industry and regional specifics.

The process of development of market relations in Kazakhstan is accompanied by the preservation of the state monopoly in the field of education. At the same time, the non-governmental sector needs to be activated in the education system. It is necessary to enter more aggressively into the financial support of the activities of educational institutions of alternative sources, such as private investments and grants, wider introduction of extra-budgetary funds.

In this direction, a balanced Policy of sustainable investment plays an important role, ultimately contributing to the achievement of the objectives set.